

Annexure C

Investor complaints data to be displayed by stock broker on their respective website

Data for every month ending

October - 2023

SN	Received From	Carried Forward from Previous Month	Received During the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution Time
						Pending For less than 3 months	Pending For More than 3 months	
1	2	3	4	5	6	7		8
1	Directly From Investors	0	0	0	0	0	0	0
2	Sebi (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

Serial number	Month	Carried forward from previous Month	Received during the Month	Resolved during the Month	Pending at the end of the Month
1	2	3	4	5	6
1	Apr-22	0	0	0	0
2	May-22	0	0	0	0
3	Jun-22	0	0	0	0
4	Jul-22	0	0	0	0
5	Aug-22	0	0	0	0
6	Sep-22	0	0	0	0
7	Oct-22	0	0	0	0
8	Nov-22	0	0	0	0
9	Dec-22	0	0	0	0
10	Jan-23	0	0	0	0
11	Feb-23	0	0	0	0
12	March -23	0	0	0	0
13	April-23	0	0	0	0
14	May-23	0	0	0	0
15	June -23	0	0	0	0
16	July-23	0	0	0	0
17	Aug-23	0	0	0	0
18	Sep -23	0	1	1	0
19	Oct -23	0	1	1	0

Trend of annual disposal of complaints

SN	YEAR	CARRIED FORWARD FROM PREVIOUSYEAR	RECEIVED DURING YEAR	RESOLVED DURING YEAR	PENDING AT THE END OF THE YEAR
1	2017-2018	0	0	0	0
2	2018-2019	0	0	0	0

3	2019-2020	0	0	0	0
4	2020-2021	0	0	0	0
5	2021-2022	0	0	0	0
6	2022-2023	0	0	0	0
7	2023-2024	0	0	0	0